U.S. Patent and Trademark Office; U.S. DEPARTMENT OF COMMERCE

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ATTORNEY'S DOCKET NUMBER TRANSMITTAL LETTER TO THE UNITED STATES 50151 DESIGNATED/ELECTED OFFICE (DO/EO/US) U.S. APPLICATION NO. (If known, see 37 CFR 1.5) CONCERNING A SUBMISSION UNDER 35 U.S.C. 371 10/565,735 INTERNATIONAL FILING DATE PRIORITY DATE CLAIMED PCT/EP2004/007694 July 26, 2003 July 13, 2004

INTERNATIONAL APPLICATION NO. TITLE OF INVENTION METHOD AND DEVICE FOR THE ENDOSCOPIC APPLICATION OF SELF-CLOSING MEDICAL CLIPS APPLICANT(S) FOR DO/EO/US Christian HUMMEL, Gerhard EMBERGER and Rudolf BAUER Applicant herewith submits to the United States Designated/Elected Office (DO/EO/US) the following items and other information: This is a FIRST submission of items concerning a submission under 35 U.S.C. 371. This is a SECOND or SUBSEQUENT submission of items concerning a submission under 35 U.S.C. 371. This is an express request to begin national examination procedures (35 U.S.C. 371(f)). The submission must include items (5), (6), (9) and (21) indicated below. The US has been elected (Article 31). A copy of the International Application as filed (35 U.S.C. 371(c)(2)) is attached hereto (required only if not communicated by the International Bureau). has been communicated by the International Bureau. is not required, as the application was filed in the United States Receiving Office (RO/US). 6. An English language translation of the International Application as filed (35 U.S.C. 371(c)(2)). is attached hereto. has been previously submitted under 35 U.S.C. 154(d)(4). Amendments to the claims of the International Application under PCT Article 19 (35 U.S.C. 371(c)(3)) are attached hereto (required only if not communicated by the International Bureau). have been communicated by the International Bureau. have not been made; however, the time limit for making such amendments has NOT expired. have not been made and will not be made. An English language translation of the amendments to the claims under PCT Article 19 (35 U.S.C. 371(c)(3)). 9. 🔽 An oath or declaration of the inventor(s) (35 U.S.C. 371(c)(4)). An English language translation of the annexes of the International Preliminary Examination Report under PCT Article 36 (35 U.S.C. 371(c)(5)). Items 11 to 20 below concern document(s) or information included: 11. An Information Disclosure Statement under 37 CFR 1.97 and 1.98. 12. 🔽 An assignment document for recording. A separate cover sheet in compliance with 37 CFR 3.28 and 3.31 is included. 13. A preliminary amendment. An Application Data Sheet under 37 CFR 1.76. A substitute specification. 16. A power of attorney and/or change of address letter. 17. L A computer-readable form of the sequence listing in accordance with PCT Rule 13ter.2 and 37 CFR 1.821- 1.825. 18. L A second copy of the published International Application under 35 U.S.C. 154(d)(4). 19. l A second copy of the English language translation of the international application under 35 U.S.C. 154(d)(4).

This collection of information is required by 37 CFR 1.414 and 1.491-1.492. The information is required to obtain or retain a benefit by the public, which is to file (and by the USPTO to process) an application. Confidentiality is governed by 35 U.S.C. 122 and 37 CFR 1.11 and 1.14. This collection is estimated to take 15 minutes to complete, including gathering information, preparing, and submitting the completed form to the USPTO. Time will vary depending upon the individual case. Any comments on the amount of time you require to complete this form and/or suggestions for reducing this burden, should be sent to the Chief Information Officer, U.S. Patent and Trademark Office, U.S. Department of Commerce, P.O. Box 1450, Alexandria, VA 22313-1450. DO NOT SEND FEES OR COMPLETED FORMS TO THIS ADDRESS. SEND TO: Mail Stop PCT, Commissioner for Patents, P.O. Box 1450, Alexandria, VA 22313-1450.

Page 1 of 2

Other items or information:

PTO-1390 (Rev. 02-2005)
Approved for use through 3/31/2007, OMB 0651-0021
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| 1 | U.S. APPLICATION NO. (if known, see 37 CFR 1.5) | | |) INTERNATIONAL AS | INTERNATIONAL APPLICATION NO. | | ATTORNEY'S DOCKET NUMBER | | |
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| | 10/565,735 | | | PCT/EP200 | PCT/EP2004/007694 | | 50151 | | |
| | The following fees have been submitted | | | | · <u>÷</u> | CALCULATIONS | PTO USE ONLY | | |
| | 21. 🔽 Basi | c national fee | •••••••••• | | \$300 | \$ | | | |
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| | Search fee (37 C Internatio International Sea | rch fee FR 1.445(a)(2)) hanal Searching Auturch Report prepares | \$ | | | | | | |
| | | TOTAL OF 21, 22 | | | | \$ | | | |
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| | ■ Applicant cla | ims small entity st | atus. See 37 CF | R 1.27. Fees above are reduc | ced by 1/2. | | | | |
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| | | k in the amount of | | | ove fees is enclosed. | | | | |
| | b. Please charge my Deposit Account No in the amount of \$ to cover the above fees. A duplicate copy of this sheet is enclosed. c. Image: The Commissioner is hereby authorized to charge any additional fees which may be required, or credit any overpayment to Deposit Account No. 18-2220 A duplicate copy of this sheet is enclosed. d. Fees are to be charged to a credit card. WARNING: Information on this form may become public. Credit card information should not be included on this form. Provide credit card information and authorization on PTO-2038. NOTE: Where an appropriate time limit under 37 CFR 1.495 has not been met, a petition to revive (37 CFR 1.137(a) or (b)) must be filed and granted to restore the International Application to pending status. | | | | | | | | |
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| U.S. APPLICATION NUMBER NO. | FIRST NAMED APPLICANT | ATT | ATTY. DOCKET NO. | |
| 10/565,735 | Christian Hummel | | 50151 | |
| | | INTERNATIONAL APPLICATION NO. | | |
| 004600 | | PCT/EP04/07694 | | |
| 001609 ROYLANCE, ABRAMS, BERDO & GOODN | 4AN 1 1 B | I.A. FILING DATE | PRIORITY DATE | |
| 4200 40TH CEDEET ALM | 07/13/2004 | 07/26/2003 | | |

001609 ROYLANCE, ABRAMS, BERDO & GOODMAN, L.L.P. 1300 19TH STREET, N.W. SUITE 600 WASHINGTON,, DC 20036

CONFIRMATION NO. 6225 371 FORMALITIES LETTER

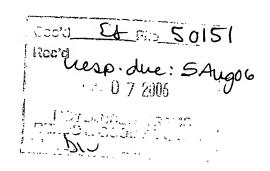
OC000000019105075

Date Mailed: 06/05/2006

NOTIFICATION OF MISSING REQUIREMENTS UNDER 35 U.S.C. 371 IN THE UNITED STATES DESIGNATED/ELECTED OFFICE (DO/EO/US)

The following items have been submitted by the applicant or the IB to the United States Patent and Trademark Office as a Designated / Elected Office (37 CFR 1.495).

- Copy of the International Application filed on 01/24/2006
- English Translation of the IA filed on 01/24/2006
- Copy of the International Search Report filed on 01/24/2006
- Copy of IPE Report filed on 01/24/2006
- Preliminary Amendments filed on 01/24/2006
- Information Disclosure Statements filed on 01/24/2006
- U.S. Basic National Fees filed on 01/24/2006
- Priority Documents filed on 01/24/2006



The applicant needs to satisfy supplemental fees problems indicated below.

The following items **MUST** be furnished within the period set forth below in order to complete the requirements for acceptance under 35 U.S.C. 371:

- Oath or declaration of the inventors, in compliance with 37 CFR 1.497(a) and (b), identifying the application by the International application number and international filing date.
- To avoid abandonment, a surcharge (for late submission of filing fee, search fee, examination fee or oath
 or declaration) as set forth in 37 CFR 1.492(h) of \$130 for a non-small entity, must be submitted with the
 missing items identified in this letter.

SUMMARY OF FEES DUE:

Total additional fees required for this application is \$130 for a Large Entity:

• \$130 Surcharge.

ALL OF THE ITEMS SET FORTH ABOVE MUST BE SUBMITTED WITHIN TWO (2) MONTHS FROM THE DATE OF THIS NOTICE OR BY 32 MONTHS FROM THE PRIORITY DATE FOR THE APPLICATION, WHICHEVER IS LATER. FAILURE TO PROPERLY RESPOND WILL RESULT IN ABANDONMENT.

The time period set above may be extended by filing a petition and fee for extension of time under the provisions of 37 CFR 1.136(a).

Applicant is reminded that any communications to the United States Patent and Trademark Office must be mailed to the address given in the heading and include the U.S. application no. shown above (37 CFR 1.5)

A copy of this notice **MUST** be returned with the response.

CHARITTA A BURT

Telephone: (703) 308-9140 EXT 207

PART 1 - ATTORNEY/APPLICANT COPY

| U.S. APPLICATION NUMBER NO. | INTERNATIONAL APPLICATION NO. | ATTY. DOCKET NO. | |
|-----------------------------|-------------------------------|------------------|--|
| 10/565,735 | PCT/EP04/07694 | 50151 | |

FORM PCT/DO/EO/905 (371 Formalities Notice)